

06/25/01
J1051 U.S. PTO

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002 OMB 0651-0032

U S Patent and Trademark Office U S DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01057A
First Inventor Michael Stanley DeCourcy, et al
Title LABORATORY-SCALE REACTION SYSTEMS
Express Mail Label No. HAND-DELIVERED

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Invention ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

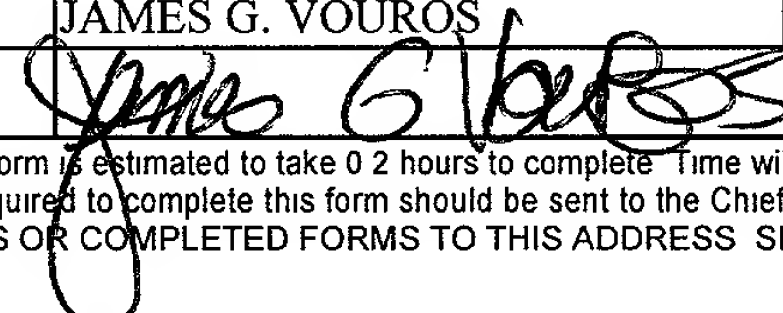
☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) of prior application No.: _____
Prior application information: Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  (Insert Customer Number or Bar Code Label here) or ☐ Correspondence address below

| | | | | |
|---------|-------------------------|----------|--|--|
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| | | | |
|-------------------|--|-----------------------------------|---------|
| Name (Print/Type) | JAMES G. VOUIROS | Registration No. (Attorney/Agent) | 34,453 |
| Signature |  | Date | 6/22/01 |

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09/887474
06/25/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$)**710.**

Complete if Known

| | |
|----------------------|----------------------------------|
| Application Number | |
| Filing Date | Herewith |
| First Named Inventor | Michael Stanley DeCourcy, et al. |
| Examiner Name | Not yet assigned |
| Group Art Unit | Not yet assigned |
| Attorney Docket No. | A01057A |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit
Account
Number

18-1850

Deposit
Account
Name

Rohm and Haas Company

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

| | | | | | |
|-----|-----|-----|-----|------------------------|--|
| 101 | 710 | 201 | 355 | Utility filing fee | |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

Fee Paid

710

SUBTOTAL(1) (\$)**710**

2. EXTRA CLAIM FEES

Total Claims **15** -20** = **18** X **18** = **324**
Independent Claims **2** -3** = **80** X **80** = **160**
Multiple Dependent **1** = **16**

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

| | | | | | |
|-----|-----|-----|-----|---|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)**0**

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee Code | Fee Description | Fee Paid | | |
|--------------|--------------|----------|----------|-----------------|----------|--|--|
| | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | | 139 | 130 | 139 | 130 | Non-English specification | |
| | | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| | | 116 | 390 | 216 | 195 | Extension for reply within second month | |
| | | 117 | 890 | 217 | 445 | Extension for reply within third month | |
| | | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| | | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| | | 119 | 310 | 219 | 155 | Notice of Appeal | |
| | | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| | | 121 | 270 | 221 | 135 | Request for oral hearing | |
| | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| | | 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| | | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| | | 143 | 440 | 243 | 220 | Design issue fee | |
| | | 144 | 600 | 244 | 300 | Plant issue fee | |
| | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| | | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| | | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**0**

SUBMITTED BY

Name (Print/Type)

JAMES G. VOUROS

Registration No
(Attorney/Agent)

34,453

Complete (if applicable)

Telephone

(215) 592-2564

Signature

James G. Vourou

Date

6/22/01

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